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(m, q) -isometries on metric spaces

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(m, q) -ISOMETRIES ON METRIC SPACES

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ABSTRACT. We show that there exist a linear m -isometry on a Hilbert space which is not continuous, and a continuous m -isometry on a Hilbert space which is not affine. Further we define (m, q) -isometries on metric spaces and prove their basic properties.

1. INTRODUCTION

The notion of an m -isometry in the setting of Hilbert spaces was introduced by J. Agler [2]: a bounded linear operator $T : H \rightarrow H$, on a Hilbert space H is an m -isometry ($m \geq 1$ integer) if

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} T^{*k} T^k = 0, \quad (1.1)$$

where T^* denotes the adjoint operator of T . These operators were further studied extensively by Agler and Stankus [4, 5, 6].

The m -isometric operators were studied by many authors. For example: in [8, 16, 17, 20, 21, 19, 23, 24, 29, 33] various results about m -isometries were given; in [9, 11, 22] the dynamics of m -isometries was studied; in [7, 12, 18, 25, 30, 32] certain types of operators (composition, multiplication, shift) were considered and some conditions under which these operators are m -isometries were given; in [15, 26, 35] some special spaces were considered.

If H is finite-dimensional, then the situation is very simple: a linear operator $T : H \rightarrow H$ is an m -isometry, but not an $(m-1)$ -isometry, if and only if m is odd and $T = A + Q$, where A and Q are commuting operators on H , A is an isometry and Q a linear nilpotent operator of order $\frac{m+1}{2}$, [3, 14].

It is clear that (1.1) is equivalent to

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} \|T^k x\|^2 = 0 \quad (x \in H). \quad (1.2)$$

Notice that it is possible to use (1.2) as the definition of m -isometries for operators on Banach spaces, as Bayart [9] and Sid Ahmed [34] have observed. Moreover, Bayart [9] has noted that there is no reason

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why the exponent 2 in (1.2) should play a particular role. Then the following definition was introduced: a bounded linear operator $T : X \longrightarrow X$, on a Banach space X is an (m, q) -isometry ($m \geq 1$ integer, $q \geq 1$ real) if

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} \|T^k x\|^q = 0 \quad (x \in X). \quad (1.3)$$

Hoffmann and Mackey [27] considered the above definition with $q > 0$ real and studied the role of the second parameter q . They proved that, for $q > 0$, there is a $(2, q)$ -isometry which is not a $(2, q')$ -isometry for any $q' \neq q$.

In [12] it was introduced a notion of an m -isometric map on certain hyperspaces of a Banach space. In this paper we study the notion of (m, q) -isometry for maps on a metric space: a map $T : E \longrightarrow E$, on a metric space E with distance d , is called an (m, q) -isometry ($m \geq 1$ integer, $q > 0$ real) if

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} d(T^k x, T^k y)^q = 0 \quad (x, y \in E). \quad (1.4)$$

Of course, if E is a Banach space and T is linear, then (1.4) is equivalent to (1.3).

The paper is organized as follows. In sections 2 and 3 we include a general theory of (m, q) -isometries on metric spaces. Many results known in the Banach space setting are now established for metric spaces. For example, for an (m, q) -isometry $T : E \longrightarrow E$ we show that it is an $(m + 1, q)$ -isometry and any power T^r is an (m, q) -isometry; moreover, if T is power bounded, then is an isometry.

In section 4 we study properties related to the continuity. First, (m, q) -isometries on metric spaces are not necessarily continuous maps. Moreover, we prove that there is a Hilbert space Y and a linear unbounded $(2, 2)$ -isometry $T : Y \longrightarrow Y$, so for (m, q) -isometries linearity is not sufficient to guarantee the continuity. Finally, we show that there exists a continuous non-affine $(2, 2)$ -isometry $T : \ell_2 \longrightarrow \ell_2$ on the Hilbert space ℓ_2 . Recall that the Mazur-Ulam theorem affirms that if $T : X \longrightarrow Y$ is a surjective isometry between two real normed spaces X and Y , then T is affine. A natural question related with this topics is the following: if T is a continuous and surjective (m, q) -isometry on a real normed space X , is then T affine? That is, does a version of the Mazur-Ulam theorem for (m, q) -isometries hold?

In the last section we consider some distances associated with $T : E \longrightarrow E$ and prove that T is an (m, q) -isometry if and only if T is an isometry for certain semi-distance ρ_T .

2. DEFINITION AND FIRST PROPERTIES

Throughout this paper, E denotes a metric space and d its distance, $T : E \longrightarrow E$ a map, $m \geq 1$ an integer and $q > 0$ a real number, unless said otherwise.

We give the main definition of this paper:

Definition 2.1. *Let E be a metric space. A map $T : E \longrightarrow E$ is called an (m, q) -isometry ($m \geq 1$ integer, $q > 0$ real) if, for all $x, y \in E$,*

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} d(T^k x, T^k y)^q = 0. \quad (2.5)$$

For $m \geq 2$, T is a strict (m, q) -isometry if it is an (m, q) -isometry, but is not an $(m-1, q)$ -isometry.

For any q , $(1, q)$ -isometries coincide with isometries; that is, maps T satisfying $d(Tx, Ty) = d(x, y)$, for all $x, y \in E$. Every isometry is an (m, q) -isometry, for all $m \geq 1$ and $q > 0$.

If X is a normed space with norm $\|\cdot\|$ and $T : X \longrightarrow X$ is a linear operator, then T is an (m, q) -isometry if and only if (1.3) holds. Clearly m -isometries on Hilbert spaces agree with $(m, 2)$ -isometries. It is well known that there exist strict $(m, 2)$ -isometries on ℓ_2 , for $m = 2, 3, 4, \dots$ [8, 12].

The following simple example shows that there exist strict $(3, 2)$ -isometries.

Example 2.2. There exists a bijective $(3, 2)$ -isometry which is not an isometry. Indeed, let H be the Hilbert space with an orthonormal basis $(e_n)_{n \in \mathbb{Z}}$. Let $T : H \longrightarrow H$ be the weighted bilateral shift defined by

$$Te_n := \sqrt{\frac{(n+1)^2 + 1}{n^2 + 1}} e_n \quad (n \in \mathbb{Z}).$$

It is easy to verify that T is a strict $(3, 2)$ -isometry.

The following remark contains a simple but very useful result.

Remark 2.3. Every (m, q) -isometry T is injective. Indeed, if $Tx = Ty$, then $T^k x = T^k y$ for $k = 2, \dots, m$ and from (2.5) we obtain $x = y$. Hence T is an injective map.

We have the following result about bijective (m, q) -isometries.

Proposition 2.4. *If T is a bijective (m, q) -isometry, then T^{-1} is also an (m, q) -isometry.*

Proof. Let $x, y \in E$. Let $u, v \in E$ satisfy $T^m u = x$ and $T^m v = y$; that is, $u = T^{-m} x$ and $v = T^{-m} y$. Since T is an (m, q) -isometry, (2.5) implies

$$\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} d(T^{-k} x, T^{-k} y)^q = \sum_{k=0}^m (-1)^{m-k} \binom{m}{m-k} d(T^{m-k} u, T^{m-k} v)^q = 0.$$

Hence T^{-1} is an (m, q) -isometry. □

We introduce the following notation: given $T : E \longrightarrow E$, $h \geq 0$ integer, $q > 0$ real and $x, y \in E$, we put

$$f_T(h, q; x, y) := \sum_{k=0}^h (-1)^{h-k} \binom{h}{k} d(T^k x, T^k y)^q .$$

Notice that $f_T(0, q; x, y) = d(x, y)^q$.

Clearly T is an (m, q) -isometry if and only if $f_T(m, q; x, y) = 0$, for all $x, y \in E$.

The following result relate certain values of f_T :

Proposition 2.5. *For any integer $h \geq 1$, real number $q > 0$ and $x, y \in E$,*

$$f_T(h, q; x, y) = f_T(h-1, q; Tx, Ty) - f_T(h-1, q; x, y) .$$

Proof. Fix $x, y \in E$. Then

$$\begin{aligned} f_T(h, q; x, y) &= \sum_{k=0}^h (-1)^{h-k} \binom{h}{k} d(T^k x, T^k y)^q = \\ &= (-1)^h d(x, y) + \sum_{k=1}^{h-1} (-1)^{h-k} \binom{h-1}{k} d(T^k x, T^k y)^q \\ &\quad + \sum_{k=1}^{h-1} (-1)^{h-k} \binom{h-1}{k-1} d(T^k x, T^k y)^q + d(T^h x, T^h y) \\ &= -f_T(h-1, q; x, y) + f_T(h-1, q; Tx, Ty) . \end{aligned}$$

Hence $f_T(h-1, q; x, y) + f_T(h, q; x, y) = f_T(h-1, q; Tx, Ty)$. □

Corollary 2.6. *If T is an (m, q) -isometry, then T is an $(m+1, q)$ -isometry.*

3. BASIC PROPERTIES

The next results give some expressions for $d(T^n x, T^n y)$, where T is an (m, q) -isometry. Recall that for integers $n, k \geq 0$,

$$\binom{n}{k} = \frac{n(n-1) \cdots (n-k+1)}{k!} ,$$

so $\binom{n}{k} = 0$ if $n < k$.

We will use the following lemmas.

Lemma 3.1. Let $(e_k)_{k \geq 0}$ and $(d_j)_{j \geq 0}$ be sequences of real numbers and let $(c_{k,j})_{k,j \geq 0}$ be a double sequence of real numbers. Then

$$\sum_{k=0}^n e_k \sum_{j=0}^k c_{k,j} d_j = \sum_{j=0}^n d_j \sum_{k=j}^n c_{k,j} e_k .$$

for any $n = 0, 1, 2, \dots$

Proof. Note that both expressions are equal to

$$\sum_{0 \leq j \leq k \leq n} d_j e_k c_{k,j} ,$$

so the proof is completed. \square

Lemma 3.2. Let s, r be integers with $0 \leq r < s$. Then

$$\sum_{h=0}^r (-1)^h \binom{s}{h} = (-1)^r \binom{s-1}{r} . \quad (3.6)$$

Proof. By induction:

(1) Case $s = r + 1$. We have

$$\sum_{h=0}^r (-1)^h \binom{r+1}{h} = -(-1)^{r+1} \binom{r+1}{r+1} = (-1)^r ,$$

so (3.6) holds.

(2) Assume that (3.6) is true for certain $s \geq r + 1$ and we prove that is also true for $s + 1$. Indeed,

$$\sum_{h=0}^r (-1)^h \binom{s+1}{h} = \binom{s+1}{0} - \left(\binom{s}{0} + \binom{s}{1} \right) + \dots + (-1)^r \left(\binom{s}{r-1} + \binom{s}{r} \right) = (-1)^r \binom{s}{r} .$$

This finishes the proof. \square

Lemma 3.3. Let n, m, k be integers such that $0 \leq k \leq m - 1 < n$. Then

$$\sum_{j=k}^{m-1} (-1)^{j-k} \binom{n}{j} \binom{j}{k} = (-1)^{m-k-1} \frac{n(n-1) \cdots \overbrace{(n-k)} \cdots (n-m+1)}{k!(m-k-1)!} , \quad (3.7)$$

where $\overbrace{(n-k)}$ denotes that the factor $(n-k)$ is omitted.

Proof. Notice that

$$\begin{aligned} A &:= \sum_{j=k}^{m-1} (-1)^{j-k} \binom{n}{j} \binom{j}{k} = \sum_{j=k}^{m-1} (-1)^{j-k} \frac{n(n-1) \cdots (n-k+1)(n-k)!}{k!(n-j)!(j-k)!} = \\ &= \frac{n(n-1) \cdots (n-k+1)}{k!} \sum_{j=0}^{m-k-1} (-1)^j \binom{n-k}{j} . \end{aligned}$$

Applying (3.6) we obtain

$$A = \frac{n(n-1)\cdots(n-k+1)}{k!} (-1)^{m-k-1} \binom{n-k-1}{m-k-1}.$$

On the other hand,

$$\begin{aligned} B &:= (-1)^{m-k-1} \frac{n(n-1)\cdots\overbrace{(n-k)}\cdots(n-m+1)}{k!(m-k-1)!} = \\ &= (-1)^{m-k-1} \frac{n(n-1)\cdots(n-k+1)(n-k-1)!}{k!(m-k-1)!(n-m)!} = \\ &= (-1)^{m-k-1} \frac{n(n-1)\cdots(n-k+1)}{k!} \binom{n-k-1}{m-k-1}. \end{aligned}$$

Hence $A = B$ and the proof is finished. \square

Lemma 3.4. *Let $T : E \rightarrow E$ be a map. Then, for every integer $n \geq 1$, real number $q > 0$ and $x, y \in E$, we have*

$$d(T^n x, T^n y)^q = \sum_{k=0}^n \binom{n}{k} f_T(k, q; x, y). \quad (3.8)$$

Proof. Clearly (3.8) is true for $n = 0$ and $n = 1$. Assume that (3.8) is true for any $0, 1, \dots, n$. We shall prove that is also true for $n + 1$. Fix $x, y \in E$ and put $f(h) = f_T(h, q; x, y)$. We have

$$\begin{aligned} d(T^{n+1}x, T^{n+1}y)^q &= f(n+1) - \sum_{k=0}^n (-1)^{n+1-k} \binom{n+1}{k} d(T^k x, T^k y)^q \\ &= f(n+1) - \sum_{k=0}^n (-1)^{n+1-k} \binom{n+1}{k} \sum_{j=0}^k \binom{k}{j} f(j). \end{aligned}$$

We apply Lemma 3.1 and obtain

$$\begin{aligned} d(T^{n+1}x, T^{n+1}y)^q &= f(n+1) - \sum_{j=0}^n f(j) \sum_{k=j}^n (-1)^{n+1-k} \binom{n+1}{k} \binom{k}{j} = \\ &= f(n+1) - \sum_{j=0}^n \binom{n+1}{j} f(j) \sum_{k=j}^n (-1)^{n+1-k} \frac{(n+1-j)!}{(k-j)!(n+1-k)!} = \\ &= f(n+1) - \sum_{j=0}^n \binom{n+1}{j} f(j) \sum_{k=j}^n (-1)^{n+1-k} \binom{n+1-j}{k-j} = \sum_{j=0}^{n+1} \binom{n+1}{j} f(j) \end{aligned}$$

which finishes the proof. \square

Theorem 3.5. *A map T is an (m, q) -isometry if and only if, for every integer $n \geq 1$ and all $x, y \in E$, we have*

$$d(T^n x, T^n y)^q = \sum_{k=0}^{m-1} \binom{n}{k} f_T(k, q; x, y). \quad (3.9)$$

Proof. If T is an (m, q) -isometry, then $f_T(k, q; x, y) = 0$ for all $k \geq m$ and $x, y \in E$. Hence we derive (3.9) from (3.8).

On the other hand, if (3.9) holds for all $n \geq 1$ and $x, y \in E$, then $f_T(k, q; x, y) = 0$ for $k \geq m$, by (3.8), so T is an (m, q) -isometry. \square

The following result is similar to [12, Theorem 2.1]. We give its proof based on Lemma 3.7.

Theorem 3.6. *A map T is an (m, q) -isometry if and only if, for all $x, y \in E$ and every integer $n \geq 0$, we have*

$$d(T^n x, T^n y)^q = \sum_{k=0}^{m-1} (-1)^{m-k-1} \frac{n \cdots \overbrace{(n-k)} \cdots (n-m+1)}{k!(m-k-1)!} d(T^k x, T^k y)^q. \quad (3.10)$$

Therefore for each $k = 0, 1, \dots, m-1$, the coefficient at $d(T^k x, T^k y)^q$ is a polynomial in n of degree $\leq m-1$.

Proof. Firstly, assume that T is an (m, q) -isometry. The equality (3.10) is clear if $n < m$. Assume $n \geq m$.

Fix $x, y \in E$. Put $a_n := d(T^n x, T^n y)^q$ and $f(j) := f_T(j, q; x, y)$. Theorem 3.5 and Lemma 3.1 imply

$$a_n = \sum_{j=0}^{m-1} \binom{n}{j} f(j) = \sum_{j=0}^{m-1} \binom{n}{j} \sum_{k=0}^j (-1)^{j-k} \binom{j}{k} a_k = \sum_{k=0}^{m-1} a_k \sum_{j=k}^{m-1} (-1)^{j-k} \binom{n}{j} \binom{j}{k}.$$

From Lemma 3.3 we obtain (3.10).

Suppose that (3.10) holds for $n \geq 0$ and all $x, y \in E$. In particular, for $n = m$ we have that equality (3.10) agrees with (2.5) and the proof is completed. \square

Theorem 3.7. *A map T is an (m, q) -isometry if and only if, for all $x, y \in E$, there exist real numbers $\gamma_0(x, y), \dots, \gamma_{m-1}(x, y)$ such that, for every integer number $n \geq 0$, we have*

$$d(T^n x, T^n y)^q = \sum_{k=0}^{m-1} \gamma_k(x, y) n^k. \quad (3.11)$$

Proof. Suppose that T is an (m, q) -isometry. Fix $x, y \in E$ and set $a_n := d(T^n x, T^n y)^q$, for $n = 0, 1, 2, 3, \dots$. As T is an (m, q) -isometry we obtain the recursive relation

$$\sum_{h=0}^m (-1)^h \binom{m}{h} a_{n+m-h} = \quad (3.12)$$

$$a_{n+m} - \binom{m}{1} a_{n+m-1} + \binom{m}{2} a_{n+m-2} - \cdots + (-1)^{m-1} \binom{m}{m-1} a_{n-1} + (-1)^n a_n = 0.$$

It is well known that the solutions $(a_n)_{n \geq 0}$ of (3.12) verify

$$a_n = \gamma_{m-1}(x, y) n^{m-1} + \cdots + \gamma_1(x, y) n + \gamma_0(x, y), \quad (3.13)$$

for some real numbers $\gamma_0(x, y), \dots, \gamma_{m-1}(x, y)$ (see, for example, [1] and [28]), so (3.11) holds. Conversely, if the sequence $(a_n)_{n \geq 0} := (d(T^n x, T^n y)_{n \geq 0}^q)$ verifies (3.13), then it also verifies (3.12), so (3.11) holds. \square

Proposition 3.8. *Let T be an (m, q) -isometry. Then, for all $x, y \in E$, the sequence $(d(T^n x, T^n y))_{n \geq 0}$ is eventually increasing; that is, there is a positive integer n_0 such that*

$$d(T^n x, T^n y) \leq d(T^{n+1} x, T^{n+1} y)$$

for all $n \geq n_0$. Moreover, if T is not an isometry, then

$$\lim_{n \rightarrow \infty} d(T^n x, T^n y) = \infty ,$$

for all $x, y \in E$ with $x \neq y$.

Proof. If T is an isometry then the result is clear. Assume that T is not an isometry, so it is a strict (m, q) -isometry for some $m \geq 2$. The sequence $(d(T^n x, T^n y)_{n \geq 0}^q)$ verifies (3.11) with positive leading coefficient $\gamma_{m-1}(x, y)$, where $m - 1 \geq 1$, so $d(T^n x, T^n y) \rightarrow \infty$ as $n \rightarrow \infty$ and the sequence is eventually increasing. \square

It is possible that the sequence $(d(T^n x, T^n y))_{n \geq 0}$ is not increasing, as we show in the next example.

Example 3.9. Consider the norm $\|\cdot\|_2$ on \mathbb{C}^2 . The map $T : \mathbb{C}^2 \rightarrow \mathbb{C}^2$ defined by $T(x, y) := (x + y, y)$ is a $(3, 2)$ -isometry and we have

$$\|T(-1, 1)\|_2 = 1 < 2 = \|(-1, 1)\|_2 .$$

Corollary 3.10. *Let E be a bounded metric space. If T is an (m, q) -isometry, then T is an isometry.*

Proposition 3.11. *If T is a bijective (m, q) -isometry and m is even, then T is an $(m - 1, q)$ -isometry*

Proof. As T is a bijective (m, q) -isometry, T^{-1} is also an (m, q) -isometry (Proposition 2.4), hence the equation (3.11) holds for $n = 0, \pm 1, \pm 2, \dots$. If the leading coefficient in (3.11) is γ_k with k odd, then

$$\lim_{n \rightarrow \infty} d(T^n x, T^n y) = \infty \quad \text{and} \quad \lim_{n \rightarrow -\infty} d(T^n x, T^n y) = -\infty .$$

Since $d(T^n x, T^n y) \geq 0$, k is even, and so $\gamma_{m-1} = 0$. Consequently, T is an $(m - 1, q)$ -isometry. \square

Definition 3.12. *A map T is called power bounded if*

$$\sup\{d(T^n x, T^n y) : n = 0, 1, \dots\} < \infty$$

for all $x, y \in E$.

Proposition 3.13. *Let $T : E \longrightarrow E$ be an (m, q) -isometry. If T is power bounded, then T is an isometry.*

Proof. Let $x, y \in E$ and $K = \sup\{d(T^n x, T^n y) : n = 0, 1, \dots\}$. By (3.11) we have

$$0 \leq \sup\left\{\sum_{k=0}^{m-1} \gamma_k(x, y)n^k : n = 0, 1, \dots\right\} \leq K^q$$

for all n . So $\gamma_{m-1}(x, y) = \dots = \gamma_1(x, y) = 0$. Hence $d(T^n x, T^n y) = d(x, y)$ and T is an isometry. \square

A product of (m, q) -isometries is not necessarily an (m, q) -isometry (see, for example, [13, Example 3.1]). In [34, Theorem 2.2], Sid Ahmed proved that if X is a normed space and T and S commuting bounded linear operators on X such that T is a 2-isometry and S is an m -isometry, then ST is an $(m + 1)$ -isometry. This result was improved in [13, Theorem 3.3]: if $TS = ST$, T is an (m, q) -isometry and S is an (n, q) -isometry, then ST is an $(m + n - 1, q)$ -isometry. Now we generalize it to metric spaces. As the proof is very similar to [13, Theorem 3.3], we omit it.

Theorem 3.14. *Let $T : E \longrightarrow E$ be an (m, q) -isometry and $S : E \longrightarrow E$ an (n, q) -isometry. If $TS = ST$, then TS is an $(m + n - 1, q)$ -isometry.*

It is clear that if T is an isometry, then T^r is also an isometry. Patel in [31, Theorem 2.1] proves that any power of a $(2, 2)$ -isometry on a Hilbert space is again a $(2, 2)$ -isometry. In [10] it was showed that any power of a Banach space (m, q) -isometry is again an (m, q) -isometry. Now we give this result in the setting of metric spaces. We omit the proof because of it is analogous to [10].

Theorem 3.15. *Let T an (m, q) -isometry. Then any power T^r is also an (m, q) -isometry.*

In general the converse of Theorem 3.15 is false, see [10, Example 3.5]. However, if we assume that two suitable different powers of T are (m, q) -isometries, then we obtain that T is (m, q) -isometry. Again we omit the proof since is very similar to [10, Theorem 3.6].

Theorem 3.16. *Let T be a map, r, s, m, l positive integers and $q > 0$ real. If T^r is an (m, q) -isometry and T^s an (l, q) -isometry, then T^t is an (h, q) -isometry, where t is the greatest common divisor of r and s , and h the minimum of m and l .*

In the following result we consider some particular cases of Theorem 3.16.

Corollary 3.17. *Let T be a map, r, s, m positive integers and $q > 0$ real.*

- (1) *If T is an (m, q) -isometry and T^s is an isometry, then T is an isometry.*

- (2) If T^r and T^{r+1} are (m, q) -isometries, then T is an (m, q) -isometry.
- (3) If T^r is an (m, q) -isometry and T^{r+1} is an (n, q) -isometry with $m < n$, then T is an (m, q) -isometry.
- (4) If T is a strict (m, q) -isometry, then any power T^r of T is a strict (m, q) -isometry.

Proposition 3.18. For $i = 1, 2, \dots, n$, let E_i be a metric space with distance d_i , and let $T_i : E_i \rightarrow E_i$ be a map, $m_i \geq 1$ integer and $q \geq 1$ real. Denote by $E := E_1 \times E_2 \times \dots \times E_n$ the product space endowed with the product distance

$$d((x_1, x_2, \dots, x_n), (y_1, y_2, \dots, y_n)) := \left(\sum_{i=1}^n d_i(x_i, y_i)^q \right)^{1/q}.$$

Let $T := T_1 \times T_2 \times \dots \times T_n : E \rightarrow E$ be defined by $T(x_1, x_2, \dots, x_n) := (T_1 x_1, T_2 x_2, \dots, T_n x_n)$. If T_i is an (m_i, q) -isometry for $i = 1, 2, \dots, n$, then T is an (m, q) -isometry, where $m = \max\{m_1, m_2, \dots, m_n\}$.

Proof. Since T_i is an (m_i, q) -isometry, it is also an (m, q) -isometry ($i = 1, 2, \dots, n$). So for $x := (x_1, x_2, \dots, x_n)$ and $y := (y_1, y_2, \dots, y_n)$ in E , we have

$$\begin{aligned} & \sum_{k=0}^m (-1)^{m-k} \binom{m}{k} d(T^k x, T^k y)^q = \\ &= \sum_{k=0}^m (-1)^{m-k} \binom{m}{k} \left(\sum_{i=1}^n d_i(x_i, y_i)^q \right) = \\ &= \sum_{i=1}^n \left(\sum_{k=0}^m (-1)^{m-k} \binom{m}{k} d_i(x_i, y_i)^q \right) = 0. \end{aligned}$$

Hence T is an (m, q) -isometry. □

4. CONTINUITY OF (m, q) -ISOMETRIES

In the next example we show that (m, q) -isometries are in general neither continuous nor linear.

Example 4.1. Let $E = \mathbb{R}$ with the usual distance $d(x, y) = |x - y|$. Consider the map $T : \mathbb{R} \rightarrow \mathbb{R}$, defined by

$$Tx = \begin{cases} x - 1 & \text{if } x < 0 \\ 0 & \text{if } x = 0 \\ x + 1 & \text{if } x > 0 \end{cases}$$

It is easy to verify that T is a $(2, 1)$ -isometry, but T is neither continuous nor linear.

Proposition 4.2. Let E be a complete metric space. If T is a continuous (m, q) -isometry, then T is injective and its range $R(T)$ is closed.

Proof. It is clear that T is injective (see Remark 2.3).

We prove that $R(T)$ is closed. Let $(x_n)_{n \geq 1}$ be a sequence in E such that the sequence $(Tx_n)_{n \geq 1}$ is convergent to some $y \in E$, hence $(T^k x_n)_{n \geq 1}$ converges to $T^{k-1}y$ for $k = 2, 3, \dots$, since T is continuous. By definition we have

$$d(x_r, x_s)^q = \sum_{k=1}^m (-1)^{m-k} \binom{m}{k} d(T^k x_r, T^k x_s)^q,$$

for $r, s \geq 1$. As $(T^k x_n)_{n \geq 1}$ is a Cauchy sequence for $k = 1, 2, \dots, m$, we have that $(x_n)_{n \geq 1}$ is a Cauchy sequence, hence it is convergent to some $x \in M$. Consequently, $(Tx_n)_{n \geq 1}$ converges to $Tx = y$ and $y \in R(T)$. So $R(T)$ is closed. \square

Proposition 4.3. *Let E be a complete metric space. If T is a $(2, q)$ -isometry, then the restriction $T|_{R(T)}$ of T to its range $R(T)$ is Lipschitz, so uniformly continuous.*

Proof. For all $x, y \in E$ we have

$$d(T^2 x, T^2 y)^q \leq d(T^2 x, T^2 y)^q + d(x, y)^q = 2d(Tx, Ty)^q,$$

hence

$$d(T^2 x, T^2 y) \leq 2^{1/q} d(Tx, Ty).$$

Consequently, T is Lipschitz on $R(T)$ with constant $2^{1/q}$. \square

Given a normed space X and an (m, q) -isometry $T : X \rightarrow X$, it is natural to investigate the relations between the linearity and continuity of T . The celebrated theorem of Mazur-Ulam affirms that if X, Y are real normed spaces and $T : X \rightarrow Y$ is a surjective isometry (hence continuous) such that $T0 = 0$, then T is linear. The situation in the realm of the (m, q) -isometries is more complicated. In the next example we prove that there exists a linear $(2, 2)$ -isometry on a Hilbert space which is not continuous. Later we give an example of a continuous $(2, 2)$ -isometry on ℓ_2 which is not linear, but it is not surjective. We do not know if a version of the Mazur-Ulam theorem is valid for (m, q) -isometries whose range is an affine subspace (see Open problem 1).

Example 4.4. *There exists a Hilbert space Y and a linear unbounded $(2, 2)$ -isometry $T : Y \rightarrow Y$.*

Let $(H, \|\cdot\|_0)$ be an infinite-dimensional Hilbert space and we consider

$$(\tilde{K}, \|\cdot\|_1) := (H, \|\cdot\|_0) \oplus (H, \|\cdot\|_0),$$

where $\tilde{K} := H \times H = \{(h, h') : h, h' \in H\}$ and $\|(h, h')\|_1 := (\|h\|_0^2 + \|h'\|_0^2)^{1/2}$, for any $h, h' \in H$.

Let $Z : (H, \|\cdot\|_0) \rightarrow (H, \|\cdot\|_0)$ be a linear unbounded mapping and define $S : H \rightarrow \tilde{K}$ by $Sh = (h, Zh)$ for $h \in H$. Then $S : (H, \|\cdot\|_0) \rightarrow (\tilde{K}, \|\cdot\|_1)$ is a linear unbounded mapping and $\|Sh\|_1 \geq \|h\|_0$, for $h \in H$. Moreover, $\|Sh\|_1^2 - \|h\|_0^2 = \|Zh\|_0^2$, so $h \mapsto (\|Sh\|_1^2 - \|h\|_0^2)^{1/2}$ defines a seminorm on H .

Let $M := SH$ and $K = \overline{M}$, the closure of M in $(\tilde{K}, \|\cdot\|_1)$. Then $(K, \|\cdot\|_1)$ is a Hilbert space.

For $k \geq 2$ define a norm $\|\cdot\|_k$ on M by

$$\begin{aligned} \|Sh\|_k &= (k\|Sh\|_1^2 - (k-1)\|h\|_0^2)^{1/2} = \\ &= (\|Sh\|_1^2 + (k-1)(\|Sh\|_1^2 - \|h\|_0^2))^{1/2} = (\|Sh\|_1^2 + (k-1)\|Zh\|_0^2)^{1/2}. \end{aligned}$$

Clearly $\|\cdot\|_k$ is a seminorm on M and

$$\|Sh\|_1 \leq \|Sh\|_k \leq \sqrt{k}\|Sh\|_1 \quad (h \in H).$$

So $\|\cdot\|_k$ is a norm equivalent to $\|\cdot\|_1$ on M and can be extended continuously to K . Clearly $(K, \|\cdot\|_k)$ is a Hilbert space for each $k \geq 1$.

Let

$$Y := (H, \|\cdot\|_0) \oplus \bigoplus_{k=1}^{\infty} (K, \|\cdot\|_k);$$

that is, $(h, k_1, k_2, \dots) \in Y$ if $h \in H$, $k_i \in K$ ($i = 1, 2, \dots$) and $\sum \|k_i\|_i^2 < \infty$. Then Y is a Hilbert space with the norm

$$\|(h, k_1, k_2, \dots)\| := \left(\|h\|_0^2 + \sum_{i=1}^{\infty} \|k_i\|_i^2 \right)^{1/2}.$$

Define $T : Y \rightarrow Y$ in the following way: for $x = (h, k_1, k_2, \dots) \in Y$ let

$$Tx = T(h, k_1, k_2, \dots) := (0, Sh, k_1, k_2, \dots).$$

So $T^2x = (0, 0, Sh, k_1, k_2, \dots)$. Clearly T is a linear unbounded mapping.

To show that T is a $(2, 2)$ -isometry, we need to prove that

$$\|x\|^2 - 2\|Tx\|^2 + \|T^2x\|^2 = 0 \tag{4.14}$$

for all $x \in Y$. For $x = (h, k_1, k_2, \dots) \in Y$ we have

$$\|x\|^2 = \|h\|_0^2 + \sum_{i=1}^{\infty} \|k_i\|_i^2,$$

hence

$$\|Tx\|^2 = \|Sh\|_1^2 + \sum_{i=1}^{\infty} \|k_i\|_{i+1}^2$$

and

$$\|T^2x\|^2 = \|Sh\|_2^2 + \sum_{i=1}^{\infty} \|k_i\|_{i+2}^2.$$

So

$$\|x\|^2 - 2\|Tx\|^2 + \|T^2x\|^2 = (\|h\|_0^2 - 2\|Sh\|_1^2 + \|S^h\|_2^2) + \sum_{i=1}^{\infty} (\|k_i\|_i^2 - 2\|k_i\|_{i+1}^2 + \|k_i\|_{i+2}^2).$$

So it is sufficient to show that

$$\|h\|_0^2 - 2\|Sh\|_1^2 + \|S^h\|_2^2 = 0 \quad (h \in H)$$

and

$$\|k\|_i^2 - 2\|k\|_{i+1}^2 + \|k\|_{i+2}^2 \quad (i \geq 1, k \in K).$$

For $h \in H$ we have by definition $\|Sh\|_2^2 = 2\|Sh\|_1^2 - \|h\|_0^2$, which proves the first equality.

Let $i \geq 1$ and $k \in K$. Then there exists a sequence $(x_r) \subset H$ such that $\lim_{r \rightarrow \infty} \|Sx_r - k\|_1 = 0$ (and so $\lim_{r \rightarrow \infty} \|Sx_r - k\|_j = 0$ for all $j \geq 1$). Thus

$$\begin{aligned} \|k\|_i^2 - 2\|k\|_{i+1}^2 + \|k\|_{i+2}^2 &= \lim_{r \rightarrow \infty} (\|Sx_r\|_i^2 - 2\|Sx_r\|_{i+1}^2 + \|Sx_r\|_{i+2}^2) \\ &= \lim_{r \rightarrow \infty} (i\|Sx_r\|_1^2 - (i-1)\|x_r\|_0^2 - 2(i+1)\|Sx_r\|_1^2 + 2i\|x_r\|_0^2 + (i+2)\|Sx_r\|_1^2 - (i+1)\|x_r\|_0^2) = 0. \end{aligned}$$

Hence the equality (4.14) holds, and so T is a $(2, 2)$ -isometry.

Example 4.5. *There exists a non-affine continuous $(2, 2)$ -isometry $T : \ell_2 \rightarrow \ell_2$ on the space ℓ_2 .*

Let $T : \ell_2 \rightarrow \ell_2$ be defined by

$$T(x_1, x_2, x_3, \dots) := (x_1x_2, 1, x_1, x_2, x_3, \dots),$$

so

$$T^2(x_1, x_2, x_3, \dots) := (x_1x_2, 1, x_1x_2, 1, x_1, x_2, x_3, \dots).$$

We will show that, for all $x, y \in \ell_2$,

$$\|x - y\|^2 - 2\|Tx - Ty\|^2 + \|T^2x - T^2y\|^2 = 0. \quad (4.15)$$

We have

$$\begin{aligned} \|x - y\|^2 - 2\|Tx - Ty\|^2 + \|T^2x - T^2y\|^2 &= \\ \sum_{n=1}^{\infty} |x_n - y_n|^2 - 2 \left(|x_1x_2 - y_1y_2|^2 + \sum_{n=1}^{\infty} |x_n - y_n|^2 \right) &+ \\ \left(2|x_1x_2 - y_1y_2|^2 + \sum_{n=1}^{\infty} |x_n - y_n|^2 \right) &= 0. \end{aligned}$$

Therefore, T verifies (4.15), so T is a $(2, 2)$ -isometry. It is clear that T is continuous but not affine.

We finish this section with the following problems:

Open problem 1. [Mazur-Ulam theorem for (m, q) -isometries] Let X be a real normed space and $T : X \rightarrow X$ a continuous (m, q) -isometry ($m \geq 1, q > 0$ real) such that its range $R(T)$ is an affine subspace. Is then T necessarily affine?

Open problem 2. Let X be a real normed space and $T : X \rightarrow X$ a surjective (m, q) -isometry ($m \geq 3, q > 0$ real). Is then T necessarily affine?

5. DISTANCES ASSOCIATED TO (m, q) -ISOMETRIES

Every (m, q) -isometry $T : E \rightarrow E$ becomes an isometry for an adequate distance on E . The following results are analogous to those of Bayart [9].

Proposition 5.1. Let T be an (m, q) -isometry. For $x, y \in E$ define

$$\rho_T(x, y) := f_T(m-1, q; x, y)^{1/q}.$$

Then ρ_T is a semi-distance and moreover,

$$\rho_T(x, y)^q = (m-1)! \lim_{n \rightarrow \infty} \frac{d(T^n x, T^n y)^q}{n^{m-1}}. \quad (5.16)$$

Proof. Write for short $f(k) := f_T(k, q; x, y)$. By Corollary 3.9, we have

$$d(T^n x, T^n y)^q = \sum_{k=0}^{m-1} \binom{n}{k} f(k).$$

Notice that the coefficient $\binom{n}{k}$ at $f(k)$ is a polynomial in n of degree k and $f(k) = 0$ if $k > m-1$. Therefore

$$f(m-1) = \lim_{n \rightarrow \infty} \frac{d(T^n x, T^n y)^q}{\binom{n}{m-1}} = (m-1)! \lim_{n \rightarrow \infty} \frac{d(T^n x, T^n y)^q}{n^{m-1}}.$$

We show that ρ_T is a semi-metric. By (5.16) it is clear that $\rho \geq 0$. Clearly $\rho(x, x) = 0$ and $\rho(x, y) = \rho(y, x)$ for all $x, y \in E$. It remains to show the triangular inequality. Let $x, y, z \in E$. Then

$$\begin{aligned} \rho(x, y) &= f_T(m-1, q; x, y)^{1/q} = [(m-1)!]^{1/q} \lim_{n \rightarrow \infty} \frac{d(T^n x, T^n y)}{n^{\frac{m-1}{q}}} \leq \\ &\leq [(m-1)!]^{1/q} \lim_{n \rightarrow \infty} \frac{d(T^n x, T^n z)}{n^{\frac{m-1}{q}}} + [(m-1)!]^{1/q} \lim_{n \rightarrow \infty} \frac{d(T^n z, T^n y)}{n^{\frac{m-1}{q}}} = \rho(x, z) + \rho(z, y). \end{aligned}$$

□

Theorem 5.2. *Let $T : E \rightarrow E$ be a mapping. Then T is an (m, q) -isometry if and only if $T : (E, \rho_T) \rightarrow (E, \rho_T)$ is an isometry.*

Proof. By Proposition 2.5, T is an (m, q) -isometry if and only if $f_T(m-1, q; x, y) = f_T(m-1, q; Tx, Ty)$, if and only if $\rho_T(Tx, Ty) = \rho_T(x, y)$. \square

Given an (m, q) -isometry T , consider the semidistance ρ_T and the set

$$N(\rho_T) := \{(x, y) \in E \times E : \rho_T(x, y) = 0\}.$$

By Theorem 5.2, we have

$$(T \times T)N(\rho_T) := \{(Tx, Ty) : (x, y) \in N(\rho_T)\} \subset N(\rho_T).$$

If T is bijective, then we have $(T \times T)N(\rho_T) = N(\rho_T)$. Of course, ρ_T is a distance if and only if $N(\rho_T) = \{(x, x) : x \in E\}$.

Proposition 5.3. *Let T be an (m, q) -isometry Lipschitz with constant c . Then*

$$\rho_T \leq (c-1)^{m-1}d.$$

Hence the topology generated by d is stronger than the topology generated by ρ_T .

Proof. For all $k = 0, 1, 2, \dots, m-1$ and $x, y \in E$, we have

$$d(T^k x, T^k y) \leq cd(T^{k-1}x, T^{k-1}y) \leq \dots \leq c^k d(x, y),$$

hence

$$\begin{aligned} \rho_T(x, y) &= f_T(m-1, q; x, y) = \sum_{k=0}^{m-1} (-1)^{m-1-k} \binom{m-1}{k} d(T^k x, T^k y) \leq \\ &\leq \left(\sum_{k=0}^{m-1} (-1)^{m-1-k} \binom{m-1}{k} c^k \right) d(x, y) = (c-1)^{m-1} d(x, y). \end{aligned}$$

This finishes the proof. \square

Let $T : E \rightarrow E$ be a map. Suppose that $q \geq 1$. Define on E the distances $d_{T,1}$ and $d_{T,2}$ in the following way, for $x, y \in E$:

$$\begin{aligned} d_{T,1}(x, y) &:= \left[\sum_{0 \leq j \leq m, j \text{ even}} \binom{m}{j} d(T^j x, T^j y)^q \right]^{1/q} \\ d_{T,2}(x, y) &:= \left[\sum_{0 \leq j \leq m, j \text{ odd}} \binom{m}{j} d(T^{j-1} x, T^{j-1} y)^q \right]^{1/q} \end{aligned}$$

Notice that

$$|f_T(m, q; x, y)| = |d_{T,1}(x, y)^q - d_{T,2}(Tx, Ty)^q|.$$

Consequently, we obtain the following result:

Theorem 5.4. *Let T be a map. The following assertions are equivalent:*

- (1) $T : (E, d) \longrightarrow (E, d)$ is an (m, q) -isometry
- (2) $T : (E, d_{T,1}) \longrightarrow (E, d_{T,2})$ is an isometry

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